Calamos Investments® Privacy Policy

At Calamos Investments, we are committed to conducting ourselves with total integrity and to the highest standards of prudent business practice. Your financial privacy is an important part of these activities. Our Privacy Policy outlines the steps we take to protect your personal information. Preserving your trust and confidence reflects our dedication to maintaining long-term client relationships.

Why It Is Important We Share Our Privacy Policy

We believe that maintaining the privacy of your personal financial information is an essential piece of the service that we provide. This Privacy Policy explains how Calamos Investments handles your personal financial information, and the procedures that we follow to ensure your privacy.

What Types of Personal Information Does Calamos Investments Collect?

We collect information about you to help serve your financial needs, provide customer service, and fulfill various legal and regulatory requirements. The type of information that we collect from you will vary based upon the product or service that we provide, and may include:

> Information included on applications, questionnaires, new account forms and other related forms such as your name, address, Social Security number, assets and income;

> Information about your transactions with us such as purchases, sales, account balances, and bank account information;

> Information provided or captured on our website; including any information captured on our website through the use of "cookies".

How Does Calamos Investments Share Your Information?

First and foremost, Calamos Investments does not sell lists of client information, nor do we disclose client information to marketing companies, with the exception of companies we may hire to provide specific services for us, as described below. We do not disclose any of the information described above to anyone, except as provided by law. Specifically, Calamos Investments may share non-public personal information with our affiliates in the course of processing transactions, managing accounts on your behalf, or to inform you of products or services that we believe may be of interest to you. Additionally, we may share non-public personal information with the following types of third parties:

> Our financial service providers such as custodians and transfer agents; and

> Non-financial companies under servicing or joint marketing agreements, such as printing firms and mailing firms that may assist us in the distribution of investor materials.

In all cases, your information is strictly protected. These third parties are bound by law or by contract to use your information only for the services for which we hired them, and are not permitted to use or share this information for any other purpose. This policy applies to current and former clients. If you access our services or products through another financial intermediary, such as a wrap fee sponsor, your intermediary's policy will govern how it uses your personal information.

Calamos Investments® Privacy Policy

Your Right to Opt Out

Calamos Investments does not sell or distribute non-public information to third parties, except as provided above. If, in the future, our policies were to change, you would be notified and provided an opportunity to opt out of our disclosing that information. That is, you could tell us not to disclose the information to any other person or entity.

Calamos Investments does not discriminate against clients who exercise any privacy rights, nor do we discriminate in responding to client requests for access to or deletion of their personal information.

How We Keep Your Information Secure and Confidential

In order to further protect you, Calamos Investments maintains strict internal security measures. We restrict access to your personal and account information to those employees who need to know that information to service your account. We also maintain physical, electronic and procedural safeguards that comply with industry standards to guard our non-public personal information.

To protect your accounts online, encryption technology – such as Transport Layer Security – is used to prevent unauthorized access. Before accessing your accounts online, you are required to provide verification of who you are and a password/PIN number. We request your help in this process by keeping your identification information and password/PIN number private and restricting access to your personal computer.

As a client of Calamos Investments, you can rely on our commitment to protect your personal information and privacy.

CALAMOS COMPANIES PROVIDING THIS NOTICE:

» Calamos Advisors LLC

» Calamos Advisors Trust

» Calamos Financial Services LLC

» Calamos Investment Trust

» Calamos Wealth Management LLC

» Calamos Convertible Opportunities and Income Fund

» Calamos Convertible and High Income Fund

» Calamos Dynamic Convertible and Income Fund

» Calamos Global Dynamic Income Fund

» Calamos Global Total Return Fund

» Calamos Strategic Total Return Fund

» Calamos Global Opportunities Fund LP

» Calamos Long/Short Equity & Dynamic Income Trust

Calamos Investments LLC
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800.582.6959 | www.calamos.com | caminfo@calamos.com

PRIVSTMTGEN 945 0422 CAL 10001

Transfer Form for Individual Retirement Account (IRA) and Coverdell Education Savings Account (CESA)

CALAMOS® INVESTMENTS

Mail to: Calamos Family of Funds
c/o US Bancorp Fund Services, LLC
P. O. Box 701
Milwaukee, WI 53201-0701

Overnight mail to: Calamos Family of Funds
c/o US Bancorp Fund Services, LLC
615 E. Michigan St., 3rd Floor
Milwaukee, WI 53202-5207

To complete this application, you will need:
» Your Social Security Number
» Your Account Number
» Current Custodian Information

If you are transferring to a new account, you must complete an IRA or CESA new account application. Please print. If you have any questions about completing this application, call a Customer Service Representative at 800.582.6959
(Hours: Monday-Friday, 8:00 a.m. to 6:00 p.m. Central time).

1. YOUR ACCOUNT TYPE

Choose only one account type.

☐ IRA (Minimum initial investment into a new account is $500, subsequent investments $50 per fund account.)
☐ CESA (Minimum initial investment into a new account is $500, subsequent investments $50 per fund account.)
☐ I already have other Calamos accounts (IRA/CESA or Other).
☐ This is my first account with Calamos Family of Funds and I have attached a new account application.

2. YOUR ACCOUNT INFORMATION

Please specify your account information.

Account Registration

Account Number

Social Security Number

3. CURRENT IRA/CESA CUSTODIAN

Please obtain the correct address from your current custodian. If you don't, your transfer could be delayed unnecessarily.

MY IRA/CESA IS CURRENTLY INVESTED IN:

Name of mutual fund, bank, or savings and loan

Address

Apt./Suite #

City

State

Zip Code

IRA/CESA Account Number or Certificate of Deposit (CD)

4. TYPE OF TRANSFER

Choose only one.

☐ CESA to CESA

☐ Traditional IRA to Traditional IRA

☐ SEP IRA to SEP IRA

☐ Roth IRA to Roth IRA

☐ SIMPLE IRA to SIMPLE IRA

☐ IRA Rollover (traditional) to IRA Rollover (traditional)

☐ Traditional IRA/SEP IRA converted to Roth IRA
(This transfer will be processed as a distribution of assets and will therefore be taxable. Check with your financial advisor for conversion eligibility requirements.)

☐ SIMPLE IRA to Traditional IRA
(Select only if you have contributed to the SIMPLE Plan for at least 2 years.)

☐ SIMPLE IRA to Roth IRA
(Select only if you have contributed to the SIMPLE Plan for at least 2 years.)

5. INVESTMENT INSTRUCTIONS

Choose only one.

☐ Open a new IRA account in the Calamos Family of Funds. (Please complete and attach the Calamos IRA New Account Application.)

☐ Invest in my existing IRA account in the Calamos Family of Funds.

Account Number

☐ Open a new CESA account in the Calamos Family of Funds. (Please complete and attach the CESA Application.)

☐ Invest in my existing CESA account in the Calamos Family of Funds.

Account Number

I am over the age of 70½ and the minimum required distribution for the current calendar year has been withheld from the assets being transferred. ☐ Yes ☐ No

If you choose to liquidate a CD prior to maturity, you may be penalized with an early withdrawal fee.

Please review the prospectus carefully before submitting any purchase requests. If you have any questions, please call a customer service representative at 800.582.6959.

Please transfer my funds:

☐ Liquidate and move 100% of my assets immediately

☐ Liquidate and transfer $ ______ or ______ % of my assets

☐ Liquidate at maturity date (if applicable)

The check should be made payable to Calamos Funds.

SELECT FUNDS	SELECT SHARE CLASS		INITIAL INVESTMENT
☐ Growth	☐ A (606)	☐ C (620)	$
☐ Growth and Income	☐ A (604)	☐ C (618)	$
☐ Timpani Small Cap Growth	☐ A (5650)	☐ C (5672)	$
☐ Timpani SMID Growth	☐ A (5653)		$
☐ Select	☐ A (634)	☐ C (636)	$
☐ Dividend Growth	☐ A (1982)	☐ C (1983)	$
☐ Global Opportunities	☐ A (607)	☐ C (621)	$
☐ International Growth	☐ A (645)	☐ C (647)	$
☐ International Small Cap Growth	☐ A (5785)	☐ C (5786)	$
☐ Global Equity	☐ A (1913)	☐ C (1915)	$
☐ Evolving World Growth	☐ A (1952)	☐ C (1954)	$
☐ Convertible	☐ A (603)	☐ C (617)	$
☐ Global Convertible	☐ A (2971)	☐ C (2972)	$
☐ Market Neutral Income	☐ A (605)	☐ C (619)	$
☐ Hedged Equity	☐ A (2977)	☐ C (2978)	$
☐ Phineus Long/Short	☐ A (5084)	☐ C (5085)	$
☐ High Income Opportunities	☐ A (608)	☐ C (622)	$
☐ Total Return Bond	☐ A (1929)	☐ C (1931)	$
☐ Short-Term Bond	☐ A (5617)		$
☐ Global Sustainable Equities	☐ A (5703)	☐ C (5704)	$
☐ Fidelity Investments Money Market Treasury Portfolio	☐ A (5141)		$

Calamos IRA and Coverdell Education Savings Account Transfer Form

6. AUTHORIZATION AND SIGNATURE

I certify to my current IRA/CESA custodian/trustee that I have established a successor IRA/CESA account to which my assets will be transferred. Please disburse my account in accordance with the instructions set forth in section 5.

x	
Signature	Date (MM/DD/YYYY)

7. MEDALLION SIGNATURE GUARANTEE

A Medallion Signature Guarantee is a method of identity verification that protects against fraud. It can be obtained from a bank, trust company, or other financial institution.
A notary public is not an acceptable guarantor since it does not include financial assurance against fraudulent activity.

You'll need a Medallion Signature Guarantee for the bank account owners if the names on the bank account are not the same as those on your Calamos account.

Medallion Signature Guarantee (Affix Medallion Signature Guarantee here)

8. CUSTODIAN ACCEPTANCE

This section to be completed by
US Bank, N.A

US Bank, N.A., hereby accepts its appointment as Custodian of the above IRA/CESA account and upon receipt of assets, will deposit such assets in a Calamos Family of Funds IRA/CESA on behalf of the Depositor authorizing this transfer or direct rollover.

Calamos Financial Services LLC, Distributor
2020 Calamos Court | Naperville, IL 60563-2787
800.582.6959 | www.calamos.com | caminfo@calamos.com

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