

Calamos Investments® Privacy Policy

At Calamos Investments, we are committed to conducting ourselves with total integrity and to the highest standards of prudent business practice. Your financial privacy is an important part of these activities. Our Privacy Policy outlines the steps we take to protect your personal information. Preserving your trust and confidence reflects our dedication to maintaining long-term client relationships.

Why It Is Important We Share Our Privacy Policy

We believe that maintaining the privacy of your personal financial information is an essential piece of the service that we provide. This Privacy Policy explains how Calamos Investments handles your personal financial information, and the procedures that we follow to ensure your privacy.

What Types of Personal Information Does Calamos Investments Collect?

We collect information about you to help serve your financial needs, provide customer service, and fulfill various legal and regulatory requirements. The type of information that we collect from you will vary based upon the product or service that we provide, and may include:

- > Information included on applications, questionnaires, new account forms and other related forms such as your name, address, Social Security number, assets and income;
- > Information about your transactions with us such as purchases, sales, account balances, and bank account information;
- > Information provided or captured on our website; including any information captured on our website through the use of “cookies”.

How Does Calamos Investments Share Your Information?

First and foremost, Calamos Investments does not sell lists of client information, nor do we disclose client information to marketing companies, with the exception of companies we may hire to provide specific services for us, as described below. We do not disclose any of the information described above to anyone, except as provided by law. Specifically, Calamos Investments may share non-public personal information with our affiliates in the course of processing transactions, managing accounts on your behalf, or to inform you of products or services that we believe may be of interest to you. Additionally, we may share non-public personal information with the following types of third parties:

- > Our financial service providers such as custodians and transfer agents; and
- > Non-financial companies under servicing or joint marketing agreements, such as printing firms and mailing firms that may assist us in the distribution of investor materials.

In all cases, your information is strictly protected. These third parties are bound by law or by contract to use your information only for the services for which we hired them, and are not permitted to use or share this information for any other purpose. This policy applies to current and former clients. If you access our services or products through another financial intermediary, such as a wrap fee sponsor, your intermediary's policy will govern how it uses your personal information.

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Your Right to Opt Out

Calamos Investments does not sell or distribute non-public information to third parties, except as provided above. If, in the future, our policies were to change, you would be notified and provided an opportunity to opt out of our disclosing that information. That is, you could tell us not to disclose the information to any other person or entity at any time. Also, if our policies were to change in the future and you are in a state that requires opting in to the sharing of your non-public information (such as Colorado, Connecticut or Virginia), you would be notified and asked to opt in.

Calamos Investments does not discriminate against clients who exercise any privacy rights, nor do we discriminate in responding to client requests for access to or deletion of their personal information.

How We Keep Your Information Secure and Confidential

In order to further protect you, Calamos Investments maintains strict internal security measures and monitors where your personal data is held. We restrict access to your personal and account information to those employees who need to know that information to service your account. We also maintain physical, electronic and procedural safeguards that comply with industry standards to guard our non-public personal information.

To protect your accounts online, encryption technology – such as Transport Layer Security – is used to prevent unauthorized access. Before accessing your accounts online, you are required to provide verification of who you are and a password/PIN number. We request your help in this process by keeping your identification information and password/PIN number private and restricting access to your personal computer.

As a client of Calamos Investments, you can rely on our commitment to protect your personal information and privacy.

CALAMOS COMPANIES PROVIDING THIS NOTICE:

- » Calamos Advisors LLC
- » Calamos Advisors Trust
- » Calamos Financial Services LLC
- » Calamos Investment Trust
- » Calamos Wealth Management LLC
- » Calamos Convertible Opportunities and Income Fund
- » Calamos Convertible and High Income Fund
- » Calamos Dynamic Convertible and Income Fund
- » Calamos Global Dynamic Income Fund
- » Calamos Global Total Return Fund
- » Calamos Strategic Total Return Fund
- » Calamos Global Opportunities Fund LP
- » Calamos Long/Short Equity & Dynamic Income Trust
- » Calamos ETF Trust
- » Calamos Antetokounmpo Asset Management LLC
- » Calamos Aksia Alternative Credit and Income Fund

CALAMOS
INVESTMENTS

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CALAMOS | Aksia IRA TRANSFER/CONVERSION REQUEST FORM

Use this form when redeeming and transferring funds from an existing IRA. You must complete this form along with your Calamos Aksia IRA Application, if this is a new account. Include a copy of your most recent account statement from the current Trustee or Custodian. If you have any questions about completing this form, please contact Shareholder Services at 888.882.8829.

MAILING INSTRUCTIONS

Please send completed form to:

Regular Mail Delivery

Calamos Aksia
PO Box 2175
Milwaukee WI 53201-2175

Overnight Delivery

Calamos Aksia
C/O UMB Fund Services, Inc
235 W Galena Street
Milwaukee WI 53212

PART I: CURRENT MUTUAL FUND GROUP/FINANCIAL INSTITUTION THE TRANSFER IS COMING FROM

Trustee/Custodian Name (Bank, Mutual Fund, etc.): _____

Trustee/Custodian Address: _____

Trustee/Custodian Phone Number: _____

PART II: IRA OWNER INFORMATION

Name: _____ Social Security Number: _____ Date of Birth: _____

Primary Phone: _____ Email Address: _____

PART III: TRANSACTION DESCRIPTION

Please select one:

Transfer (Nonreportable, Nontaxable Transaction)

- Traditional (or SEP) IRA to Traditional (or SEP) IRA, Roth IRA to Roth IRA
- SIMPLE IRA to Traditional IRA (or SEP)*

Receiving Account Number with Calamos Aksia **: _____

**If you are purchasing into a newly established IRA, please enclose a completed IRA Application.

Conversion (Reportable, Taxable Transaction)

- Traditional (or SEP) IRA to Roth IRA
- SIMPLE IRA to Roth IRA*

Receiving Account Number with Calamos Aksia **: _____

**If you are purchasing into a newly established IRA, please enclose a completed IRA Application.

*At least two years must elapse from the time of your initial participation in your employer's SIMPLE IRA plan before you may transfer SIMPLE IRA assets to a Traditional (or SEP) IRA or convert SIMPLE IRA assets to a Roth IRA.

PART IV: LIQUIDATION INSTRUCTIONS

I authorize and direct the current IRA Trustee/Custodian to liquidate assets as follows and send all proceeds to the new IRA Custodian identified below (select one):

- Immediately liquidate all assets and send the cash proceeds to the new IRA Custodian.
- I wish to withdraw the requested amount on a pro rata basis across all investments.
- I wish to withdraw the requested amount from my investments as indicated in the chart below.

(Indicate from which investments the withdrawal should be taken. Percentages must be in whole numbers, e.g., 33%, not 33 1/3%.)

<u>Investment Description</u>	<u>Share Class (if applicable)</u>	<u>Approximate Value</u>	<u>Withdrawal Amount or %</u>
_____	_____	\$ _____	\$ _____ or _____ %

Choose one: Liquidate Immediately & Distribute Distribute In-Kind

Choose one: Liquidate Immediately & Distribute Distribute In-Kind \$ _____ \$ _____ or _____ %

Choose one: Liquidate Immediately & Distribute Distribute In-Kind \$ _____ \$ _____ or _____ %

Choose one: Liquidate Immediately & Distribute Distribute In-Kind \$ _____ \$ _____ or _____ %

TOTAL: \$ _____ or _____ %

Addendum attached for additional investments. If you need additional space to list investments, attach a separate sheet that includes all of the information requested above. Sign and date the sheet.

PART V: TRANSFER/CONVERSION INSTRUCTIONS

By Check:
 Make check payable as follows: Calamos Aksia as Custodian FBO _____
 Please mail check to:

<p><u>Regular Mail Delivery</u> Calamos Aksia PO Box 2175 Milwaukee, WI 53201-2175</p>	<p><u>Overnight Mail Delivery</u> Calamos Aksia C/O UMB Fund Services, Inc 235 W Galena Street Milwaukee, WI 53212-3948</p>
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By Wire: For wire instructions call 888.882.8829.

PART VI: INSTRUCTIONS REGARDING REQUIRED MINIMUM DISTRIBUTION (RMD)

Complete this section only if the current (i.e., distributing) IRA is subject to required minimum distributions (RMDs).

I have already satisfied my RMD for the year.
 Send me my RMD then transfer/convert the remaining IRA balance as instructed above.
 Keep my RMD in the existing IRA and transfer the balance as instructed. (This option is **NOT** available for Conversions to Roth IRAs).
 Transfer my RMD to the new IRA Custodian. (This option is **NOT** available for Conversions to Roth IRAs).

Special Instructions: _____

PART VII: INVESTMENT SELECTION (RECEIVING IRA AT CALAMOS AKSIA)

Fund Name	Share Class (if applicable)	Amount
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
		TOTAL: \$ _____

Addendum attached for additional investment selections. If you need additional space to make investment selections, attach a separate sheet that includes all of the information requested above. Sign and date the sheet.

PART VIII: WITHHOLDING NOTICE AND ELECTION FORM (Form W4P/OMB No. 1545-0074) Department of Treasury, Internal Revenue Service

Complete only if you are converting a Traditional, SEP, or SIMPLE IRA to a Roth IRA.

NOTICE: The distributions you receive from your IRA are subject to Federal income tax withholding unless you waive withholding. You may waive withholding on your IRA distribution by returning a signed and dated IRS Form W-4P, *Withholding Certificate for Pension or Annuity Payments*, or substitute Form W-4P to the Custodian. Withholding will apply to the total amount of the distribution, whether taxable or not. If you waive withholding on your IRA distribution, or if you do not have enough Federal income tax withheld from your IRA distribution, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient. You are responsible for determining and paying all Federal, and if applicable, state and local taxes on distributions from all IRAs you own. If you do not waive withholding or elect an alternative withholding amount, ten percent will be withheld from your nonperiodic IRA distribution. Your election is valid until you revoke it. You may change your withholding election by completing another Form W-4P or substitute. If you are a non-resident alien you may not use Form W-4P to withhold income tax or to waive withholding.

Election: Unless you indicate a different withholding amount below or you waive withholding by indicating your election below, ten percent will be withheld from your IRA distribution.

- I do not want federal income tax withheld from my distribution from this account.
- I want federal income tax of 10% withheld from my distribution from this account.
- I want federal income tax of _____% (greater than 10%) withheld from my distribution from this account.

PART IX: ACKNOWLEDGEMENTS

By signing this *IRA Transfer/Conversion Request Form*, I certify that the information I have provided is true and correct. I authorize the current IRA Trustee/Custodian to transfer/convert the IRA assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer/conversion and I assume all responsibilities for any consequences that arise as a result of my actions. I agree to indemnify and hold the IRA Trustee/Custodian harmless from any consequences related to executing my directions. I have been advised to seek competent legal and tax advice, and have not been provided any such advice from the IRA Trustee/Custodian.

Signature of IRA Owner (or other authorized person):

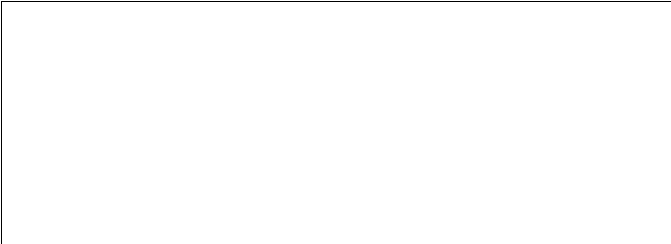
X _____ Date: _____
 If the owner of the IRA account is a minor, the responsible person designated on the current IRA account needs to sign this form

ACCEPTANCE:

By signing below, UMB Bank, n.a, agrees to accept this transfer/conversion as instructed above.

Signature of Receiving IRA Custodian Representative:

X _____ Date: _____



Medallion signature guarantee (if required)

Please check with your current trustee/custodian to determine if a Medallion signature guarantee is required to process this transfer.

A Medallion signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms participating in the Securities Transfer Association Medallion Program. Approved programs currently include STAMP, SEMP and MSP. **A notary public stamp or seal is not acceptable.**