

**CALAMOS INVESTMENTS ANNOUNCES THE LISTING OF THE CALAMOS  
ANTETOKOUNMPO GLOBAL SUSTAINABLE EQUITIES ETF (SROI)**

***Launched in partnership with NBA Superstar Giannis Antetokounmpo, fund is based on one of the longest-running ESG research programs in the nation***

- *SROI is a global stock-based ETF that seeks above-average returns with lower volatility by focusing on companies that generate potentially higher returns on capital and maintain what the advisor believes to be a competitive edge.*
- *Fund invests in companies with strong ESG characteristics believed to be better equipped to adapt to change and potentially avoid certain liabilities, thereby contributing to return potential and risk reduction.*
- *SROI is part of a broader partnership with NBA superstar Giannis Antetokounmpo that has committed to donating a portion of profits to charity.*

**Metro Chicago, Feb. 6, 2023** – [Calamos Investments LLC](#) (“Calamos”), a premier global investment provider, in partnership with Giannis Antetokounmpo, today announced the listing of the Calamos Antetokounmpo Global Sustainable Equities ETF (ticker: SROI) on NYSE ARCA. SROI seeks to deliver long-term capital appreciation through a professionally managed portfolio of high quality and growth-oriented ESG-principled companies.

The investment adviser for SROI, Calamos Antetokounmpo Asset Management LLC, is structured as a joint venture and seeks to donate a portion of its profits to charities, as determined by its Board of Directors. The Board aims to review and consider charities in areas such as economic empowerment, financial education, and the development of young people in underserved communities.

“We’re excited to be in the ETF marketplace with the listing of the Calamos Antetokounmpo Global Sustainable Equities ETF,” said Matt Kaufman, Head of ETFs at Calamos. “We’re confident the partnership forged between Calamos and Giannis Antetokounmpo will allow for the greatest opportunity for societal return on investment on a global scale and will become a cornerstone for future growth in the ETF space at the firm.”

“The principles of family, community, and economic empowerment are tremendously important to the Antetokounmpo family,” Giannis said. “I’m excited to join with Calamos to bring an exchange traded fund to market that invests for growth, and to form a partnership that gives back to the community in a meaningful way.”

## **The Calamos Antetokounmpo Global Sustainable Equities ETF (SROI)**

SROI is an active ETF managed by long-standing ESG portfolio managers Tony Tursich, James Madden, and Beth Williamson—who established one of the first sustainable research platforms in the world and helped set the standards for many of today's ESG portfolios. The portfolio will consist predominantly of developed- (including U.S.) and emerging market-stocks that the Advisor believes have above average growth potential and meet the team's proprietary ESG rating system.

### **SROI's ESG rating system**

The proprietary ESG rating system developed and used by the fund's managers considers both quantitative and qualitative factors in an effort to identify growth-focused companies that, in Calamos's opinion, are also deemed to be "responsible and engaged" (i.e., companies that demonstrate awareness and action surrounding the material ESG issues facing their businesses and industries).

Calamos believes that a company's understanding of ESG principles demonstrates the qualities of innovation and leadership that create a distinct competitive advantage and build long-term value for a company. The portfolio management team considers a company's position on various factors such as ecological limits, environmental stewardship, environmental strategies, stance on human rights and equality, societal impact as well as its corporate governance practices. Through core fundamental research, Calamos combines traditional investment information with its proprietary three-pronged ESG process to identify investments that it believes promote certain environmental and/or social characteristics. Calamos believes that this creates a complete picture of how each company behaves commercially and how it deals with existing and emerging ESG risks and opportunities. The three-pronged ESG process consists of: 1) exclusionary screens; 2) materiality assessments and 3) environmental and social impact scoring.

SROI is listed on the NYSE ARCA, and carries an annual expense ratio of 0.95%. The prospectus for the Calamos Antetokounmpo Global Sustainable Equities ETF can be found [here](#).

### **Giannis to ring NYSE closing bell**

A bell ringing ceremony at NYSE is scheduled to take place March 31, 2023, where Giannis Antetokounmpo will be present alongside Calamos senior executives, to commemorate the launch of the ETF and speak to media about the fund as well as the Advisor's commitment to charity.

### **About Calamos**

Calamos Investments is a diversified global investment firm offering innovative investment strategies including alternatives, multi-asset, convertible, fixed income, equity, and sustainable equity. The firm offers strategies through separately managed portfolios, mutual funds, closed-end funds, private funds, ETFs and UCITS funds. Clients include major corporations, pension funds, endowments, foundations, and individuals, as well as the financial advisors and consultants who serve them. The firm is

headquartered in the Chicago metropolitan area, with offices in New York, San Francisco, Milwaukee, Portland (Oregon), and the Miami area. For more information, please visit [www.calamos.com](http://www.calamos.com).

### **About Giannis Antetokounmpo**

Giannis Sina Ugo Antetokounmpo is a Greek-Nigerian professional basketball player who has won countless awards and honors since joining the NBA in 2013. He is one of the most decorated players in the history of the NBA and became a minority owner of the Milwaukee Brewers in 2021. Antetokounmpo is known for his philanthropic work through his family's foundation, CAFF, named after his late father Charles Antetokounmpo.

\*Calamos Investments LLC, referred to herein as Calamos Investments®, is a financial services company offering such services through its subsidiaries: Calamos Advisors LLC, Calamos Wealth Management LLC, Calamos Investments LLP, Calamos Financial Services LLC, and Calamos Antetokounmpo Asset Management LLC.

**Before investing, carefully consider the fund's investment objectives, risks, charges and expenses. Please see the prospectus and summary prospectus containing this and other information which can be obtained by calling 1-800-582-6959. Read it carefully before investing.**

Environmental, social and governance (ESG) is based on the premise of investing in companies that have good environmental records, are ethically run and have a positive social impact.

An investment in the Fund(s) is subject to risks, and you could lose money on your investment in the Fund(s). There can be no assurance that the Fund(s) will achieve its investment objective. Your investment in the Fund(s) is not a deposit in a bank and is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. The risks associated with an investment in the Fund(s) can increase during times of significant market volatility. The Fund(s) also has specific principal risks, which are described below. More detailed information regarding these risks can be found in the Fund's prospectus.

The principal risks of investing in the **Calamos Antetokounmpo Global Sustainable Equities ETF** include: equity securities risk consisting of market prices declining in general, growth stock risk consisting of potential increased volatility due to securities trading at higher multiples, value stock risk, foreign securities risk, forward foreign currency contract risk, emerging markets risk, small and mid-sized company risk and portfolio selection risk. As a result of political or economic instability in foreign countries, there can be special risks associated with investing in foreign securities, including fluctuations in currency exchange rates, increased price volatility and difficulty obtaining information. In addition, emerging markets may present additional risk due to potential for greater economic and political instability in less developed countries.

The **MSCI ACWI Index** is a free float-adjusted market capitalization weighted index that is designed to measure the equity market performance of developed markets and emerging markets.

The Fund's ESG policy could cause it to perform differently compared to similar funds that do not have such a policy. The application of the social and environmental standards of Calamos Advisors may affect

the Fund's exposure to certain issuers, industries, sectors, and factors that may impact the relative financial performance of the Fund-positively or negatively-depending on whether such investments are in or out of favor.

Calamos Antetokounmpo Asset Management LLC ("CGAM"), an investment adviser registered with the SEC under the Investment Advisers Act of 1940, serves as the Fund's adviser ("Adviser"). CGAM is jointly owned by Calamos Advisors LLC and Original C Fund, LLC, an entity whose voting rights are wholly owned by Original PE, LLC which, in turn, is wholly owned by Gianni Sina Ugo Antetokounmpo.

Mr. Antetokounmpo serves on the Adviser's Board of Directors and has indirect control of half of the Adviser's Board.

Mr. Antetokounmpo is not a portfolio manager of the Fund and will not be involved in the day-to-day management of the Fund's investments, and neither Original C nor Mr. Antetokounmpo shall provide any "investment advice" to the Fund. Mr. Antetokounmpo provided input in selecting the initial strategy for the Fund.

Mr. Antetokounmpo will be involved with marketing efforts on behalf of the Adviser.

If Mr. Antetokounmpo is no longer involved with the Fund or the Adviser then "Antetokounmpo" will be removed from the name of the Fund and the Adviser. Further, shareholders would be notified of any change in the name of the Fund or its strategy.

Forside Financial Services, LLC, Distributor.

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