

The Calamos Investments UNPRI Leadership Statement

Calamos Investments, as a firm, believes that a focus on sustainability in a variety of forms is a critical part of the investment process and a quality business practice. While sustainability has long focused on environmental, social, and governance issues, it is clear that in order to be successful in today's financial markets, one must look at more than just monetary gains. In order to make a lasting, positive footprint, for the firm and for the broader benefit, a variety of factors and possible outcomes must be considered.

Our mission at Calamos is to provide clients with unique investment vehicles that meet their needs and deliver strong risk-adjusted, long-term performance. We seek to employ disciplined investment processes that uncover opportunities and evaluate potential risks while striving for the best possible return outcomes within our investment guidelines. We invest in a broad array of asset classes including global equities, hybrid securities, fixed income, multi-asset, and liquid alternative strategies through a variety of products and investment teams.

Since Calamos Investments became a UNPRI signatory in 2018, we continually develop the way we think about sustainability and the way we act on it. We began by forming an ESG Advisory Council that is comprised of the top senior leaders in the firm. They lend their expertise and dedication to drive home our sustainability goals. We also established three diverse, target-driven ESG Working Groups: the ESG Investment Research and Risk Group, the ESG Stewardship and Regulatory Oversight Group, and Citizen Calamos. The innovations bolstered by these groups have allowed us to take many positive steps forward in our awareness and our incorporation of sustainability on a firm-wide scale.

Calamos Investments has also grown in the ESG investing space over the last two years. Many of our investment teams integrate ESG factors into their investment decisions to varying degrees. At Calamos, we encourage a "team of teams" approach to sustainable investing. Investment teams decide how they can integrate ESG and then set out their plan in a clearly defined procedure, specific to each team.

One of our investment teams is fully engaged in ESG-focused research and engagement. This team has been dedicated to sustainable investing since the 1990s. Their knowledge and proprietary research around ESG investments is extensive. With their 30 years of ESG experience and Calamos Investments' 46 years of industry experience, we are able to offer several new sustainability-focused investment vehicles, some of which have already been launched.

Calamos Investments believes that the United Nations Principles of Responsible Investing serve as a vital guide to the future of investing. With Calamos' long history of innovation in investing and providing diverse products, it is important to us that we stay at the forefront of sustainability. We strive to continue evolving to meet the aspirations of our clients and the needs of the world.



John S. Koudounis
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