

# Transfer Form for Individual Retirement Account (IRA) and Coverdell Education Savings Account (CESA)



## Mail to: Calamos Family of Funds

c/o U.S. Bancorp Fund Services, LLC  
P. O. Box 701  
Milwaukee, WI 53201-0701

## Overnight mail to: Calamos Family of Funds

c/o U.S. Bancorp Fund Services, LLC  
615 E. Michigan St., 3rd Floor  
Milwaukee, WI 53202-5207

To complete this application, you will need:

- » Your Social Security Number
- » Your Account Number

» Current Custodian Information

If you are transferring to a new account, you must complete an IRA or CESA new account application. Please print. If you have any questions about completing this application, call a Customer Service Representative at 800.582.6959 (Hours: Monday-Friday, 8:00 a.m. to 6:00 p.m. Central time).

### 1. YOUR ACCOUNT TYPE

Choose only one account type.

- IRA (Minimum initial investment into a new account is \$500, subsequent investments \$50 per fund account.)
- CESA (Minimum initial investment into a new account is \$500, subsequent investments \$50 per fund account.)
- I already have other Calamos accounts (IRA/CESA or Other).
- This is my first account with Calamos Family of Funds and I have attached a new account application.

### 2. YOUR ACCOUNT INFORMATION

Please specify your account information.

Account Registration

Account Number

Social Security Number

### 3. CURRENT IRA/CESA CUSTODIAN

Please obtain the correct address from your current custodian. If you don't, your transfer could be delayed unnecessarily.

MY IRA/CESA IS CURRENTLY INVESTED IN:

Name of mutual fund, bank, or savings and loan

Address

Apt./Suite #

City

State

Zip Code

IRA/CESA Account Number or Certificate of Deposit (CD)

### 4. TYPE OF TRANSFER

Choose only one.

- CESA to CESA
- Traditional IRA to Traditional IRA
- SEP IRA to SEP IRA
- Roth IRA to Roth IRA
- SIMPLE IRA to SIMPLE IRA
- IRA Rollover (traditional) to IRA Rollover (traditional)
- Traditional IRA/SEP IRA converted to Roth IRA (This transfer will be processed as a distribution of assets and will therefore be taxable. Check with your financial advisor for conversion eligibility requirements.)
- SIMPLE IRA to Traditional IRA (Select only if you have contributed to the SIMPLE Plan for at least 2 years.)
- SIMPLE IRA to Roth IRA (Select only if you have contributed to the SIMPLE Plan for at least 2 years.)

# Calamos IRA and Coverdell Education Savings Account Transfer Form

## 5. INVESTMENT INSTRUCTIONS

Choose only one.

Open a new IRA account in the Calamos Family of Funds. (Please complete and attach the Calamos IRA New Account Application.)

Invest in my existing IRA account in the Calamos Family of Funds.

Account Number

Open a new CESA account in the Calamos Family of Funds. (Please complete and attach the CESA Application.)

Invest in my existing CESA account in the Calamos Family of Funds.

Account Number

I am over the age of 70½ and the minimum required distribution for the current calendar year has been withheld from the assets being transferred.  Yes  No

If you choose to liquidate a CD prior to maturity, you may be penalized with an early withdrawal fee.

Please transfer my funds:

Liquidate and move 100% of my assets immediately

Liquidate and transfer \$  or  % of my assets

Liquidate at maturity date (if applicable)

The check should be made payable to Calamos Funds.

Please review the prospectus carefully before submitting any purchase requests. If you have any questions, please call a customer service representative at 800.582.6959.

SELECT FUNDS	SELECT SHARE CLASS	INITIAL INVESTMENT
<input type="checkbox"/> Growth	<input type="checkbox"/> A (606) <input type="checkbox"/> C (620)	\$ <input type="text"/>
<input type="checkbox"/> Growth and Income	<input type="checkbox"/> A (604) <input type="checkbox"/> C (618)	\$ <input type="text"/>
<input type="checkbox"/> Opportunistic Value	<input type="checkbox"/> A (634) <input type="checkbox"/> C (636)	\$ <input type="text"/>
<input type="checkbox"/> Dividend Growth	<input type="checkbox"/> A (1982) <input type="checkbox"/> C (1983)	\$ <input type="text"/>
<input type="checkbox"/> Global Growth and Income	<input type="checkbox"/> A (607) <input type="checkbox"/> C (621)	\$ <input type="text"/>
<input type="checkbox"/> International Growth	<input type="checkbox"/> A (645) <input type="checkbox"/> C (647)	\$ <input type="text"/>
<input type="checkbox"/> Global Equity	<input type="checkbox"/> A (1913) <input type="checkbox"/> C (1915)	\$ <input type="text"/>
<input type="checkbox"/> Evolving World Growth	<input type="checkbox"/> A (1952) <input type="checkbox"/> C (1954)	\$ <input type="text"/>
<input type="checkbox"/> Emerging Market Equity	<input type="checkbox"/> A (2347) <input type="checkbox"/> C (2348)	\$ <input type="text"/>
<input type="checkbox"/> Convertible	<input type="checkbox"/> A (603) <input type="checkbox"/> C (617)	\$ <input type="text"/>
<input type="checkbox"/> Global Convertible	<input type="checkbox"/> A (2971) <input type="checkbox"/> C (2972)	\$ <input type="text"/>
<input type="checkbox"/> Market Neutral Income	<input type="checkbox"/> A (605) <input type="checkbox"/> C (619)	\$ <input type="text"/>
<input type="checkbox"/> Hedged Equity Income	<input type="checkbox"/> A (2977) <input type="checkbox"/> C (2978)	\$ <input type="text"/>
<input type="checkbox"/> Phineus Long/Short	<input type="checkbox"/> A (5084) <input type="checkbox"/> C (5085)	\$ <input type="text"/>
<input type="checkbox"/> High Income Opportunities	<input type="checkbox"/> A (608) <input type="checkbox"/> C (622)	\$ <input type="text"/>
<input type="checkbox"/> Total Return Bond	<input type="checkbox"/> A (1929) <input type="checkbox"/> C (1931)	\$ <input type="text"/>
<input type="checkbox"/> Fidelity Investments Money Market Treasury Portfolio	<input type="checkbox"/> A (5141)	\$ <input type="text"/>

# Calamos IRA and Coverdell Education Savings Account Transfer Form

## 6. AUTHORIZATION AND SIGNATURE

I certify to my current IRA/CESA custodian/trustee that I have established a successor IRA/CESA account to which my assets will be transferred. Please disburse my account in accordance with the instructions set forth in section 5.

X

Signature

Date (MM/DD/YYYY)

## 7. MEDALLION SIGNATURE GUARANTEE

A Medallion Signature Guarantee is a method of identity verification that protects against fraud. It can be obtained from a bank, trust company, or other financial institution.

A notary public is not an acceptable guarantor since it does not include financial assurance against fraudulent activity.

You'll need a Medallion Signature Guarantee for the bank account owners if the names on the bank account are not the same as those on your Calamos account.

Medallion Signature Guarantee (Affix Medallion Signature Guarantee here)

## 8. CUSTODIAN ACCEPTANCE

This section to be completed by  
U.S. Bank, N.A

U.S. Bank, N.A., hereby accepts its appointment as Custodian of the above IRA/CESA account and upon receipt of assets, will deposit such assets in a Calamos Family of Funds IRA/CESA on behalf of the Depositor authorizing this transfer or direct rollover.



# Calamos IRA and Coverdell Education Savings Account Transfer Form

CALAMOS®  
I N V E S T M E N T S

Calamos Financial Services LLC, Distributor  
2020 Calamos Court | Naperville, IL 60563-2787  
800.582.6959 | [www.calamos.com](http://www.calamos.com) | [caminfo@calamos.com](mailto:caminfo@calamos.com)

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