

# Application Form

## Calamos Global Funds PLC

CALAMOS®

Please complete this form in blue or black ink using BLOCK CAPITALS, and return together with applicable documentation required in connection with Anti-Money Laundering procedures detailed on page 8.

Calamos Global Funds PLC  
 C/O RBC Dexia Investor Services Ireland Limited  
 Georges Quay House  
 43 Townsend Street  
 Dublin 2  
 Ireland

Fax: +353 1 613 0401  
 Email: dublin\_ta\_customer\_support@rbcdexia.com  
 Phone: (353) 1 440 6555  
**Attention: Shareholder Services**

**The original application form should be sent without delay to the Administrator. Redemption proceeds will not be processed until the Administrator is in receipt of the original application form and appropriate anti-money-laundering documentation.**

The Applicant, having received and read a copy of the Prospectus of Calamos Global Funds Plc (the "Company") and hereby apply to invest in the Company, as indicated in the table below:

| Fund Name | Currency* | Share Class | Amount / No. of Shares<br>(Please delete one) |
|-----------|-----------|-------------|---|
|           |           |             |   |
|           |           |             |   |
|           |           |             |   |
|           |           |             |   |

\* Subscription monies for the Shares of each Fund of the Company should be remitted in the designated currency of the relevant Fund or Class, unless otherwise agreed with the Administrator.

### Settlement Details

| USD               |   |
|-------------------|---|
| Intermediary Bank | Bank of America N.A., New York                  |
| Swift Code        | BOFAUS3N  |
| Beneficiary Bank  | RBC Dexia Investor Services Bank SA, Luxembourg |
| SWIFT             | FETALULL  |
| Final Beneficiary | CALAMOS GLOBAL FUNDS PLC COLL ACC               |
| IBAN Number       | LU263417720023153500                            |
| Ref               | Investor Name                                   |

| EUR               |  |
|-------------------|--|
| Intermediary Bank | Bank of America N.A., London**                 |
| Swift Code        | BOFAGB22**                                     |
| Beneficiary Bank  | RBC Dexia Investor Services Bank SA Luxembourg |
| SWIFT             | FETALULL                                       |
| Final Beneficiary | CALAMOS GLOBAL FUNDS PLC COLL ACC              |
| IBAN Number       | LU573413720023154500                           |
| Ref               | Investor Name                                  |

| GBP               |  |
|-------------------|--|
| Intermediary Bank | Bank of America N.A., London                   |
| Swift Code        | BOFAGB22                                       |
| Beneficiary Bank  | RBC Dexia Investor Services Bank SA Luxembourg |
| SWIFT             | FETALULL                                       |
| Final Beneficiary | CALAMOS GLOBAL FUNDS PLC COLL ACC              |
| IBAN Number       | LU213415720023156600                           |
| Ref               | Investor Name                                  |

**\*\*NOTE for EUR Payments:** In order to benefit as much as possible from local clearing, it is recommended that you route your payment via one of the following of our Bank of America EUR correspondent banks:

**Code Swift Bank Name**  
 BOFAGB22 Bank of America London  
 BOFAFRPP Bank of America Paris  
 BOFADEFX Bank of America Frankfurt  
 BOFAIT2X Bank of America Milan  
 BOFAES2X Bank of America Madrid  
 BOFABE3X Bank of America Antwerp  
 BOFAIE3X Bank of America Dublin  
 BOFANLNX Bank of America Amsterdam

## Intermediary Details (if applicable)

|                         |                      |                      |
|-------------------------|----------------------|----------------------|
| <input type="text"/>    | <input type="text"/> |                      |
| Broker ID               | Branch ID            |                      |
| <input type="text"/>    |                      |                      |
| Broker Name             |                      |                      |
| <input type="text"/>    |                      |                      |
| Broker Address (line 1) |                      |                      |
| <input type="text"/>    |                      |                      |
| Broker Address (line 2) |                      |                      |
| <input type="text"/>    | <input type="text"/> |                      |
| Representative Name     | Representative ID    |                      |
| <input type="text"/>    | <input type="text"/> | <input type="text"/> |
| Email Address           | Tel No               | Fax No               |

The Applicant acknowledges that due to anti-money laundering requirements operating within its jurisdiction, the Company or the Administrator (acting on behalf of the Company), may require directly or via a distributor, further identification of the Applicant before the Shares of the Fund can be registered in the Applicant's name and the Company and the Administrator shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by the Applicant.

The Applicant hereby applies for Shares in the Fund on the terms and conditions set out in the memorandum and articles of association of the Company, this Application Form and the prospectus and the relevant supplement for the Fund dated November 26, 2007, as may be amended from time to time (the "Prospectus"). The Applicant agrees to be bound by the terms of the Prospectus and the Memorandum and Articles of Association of the Company.

The Applicant confirms that, being a person, it is 18 years or over, that the Applicant is not a U.S. Person (as defined in the Prospectus) and is not acquiring Shares in the Fund on behalf of, or for the benefit of, a United States Person nor does the Applicant intend to transfer any shares in the Fund for which the Applicant may subscribe to any person who is a U.S. Person.

The Administrator is hereby authorised and instructed to accept and execute any instruction, (including but not limited to any instructions regarding subscriptions, switches or redemptions of Shares or any payment in relation to same or otherwise) in respect of Shares in the Fund, given by the Applicant in written form, by facsimile, by telephone or by electronic means. If the instructions are given by the Applicant by telephone, the Applicant undertakes to confirm them in writing. The Applicant hereby agrees to indemnify each of the Administrator and the Company and agrees to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of either or them acting upon instructions given in written form by the Applicant, i.e. facsimile, telephone instructions confirmed by the Applicant in writing or by electronic means. The Administrator and the Company may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

Amendments to investors' registration details and payment instructions will only be effected on receipt of an original instruction which has been duly authorized.

The Applicant is fully empowered and has authority to make this investment whether the investment is on its own behalf or on the behalf of another person or institution.

The Applicant confirms that it has the right and the authority to request a redemption or repurchase of Shares in the Fund and confirms that the Applicant will comply with the redemption and repurchase procedures set out in the Prospectus. All redemption or repurchase instructions must be given in writing, quoting the relevant account number and be accompanied by the relevant repurchase documentation.

The Applicant hereby agrees to indemnify and keep indemnified the Company, the Administrator and the Custodian their affiliates, and the officers, directors, employees and professional advisers of any of the foregoing, from and against any loss arising to either of them as a result of any breach of any representation, warranty, covenant, undertaking, agreement, declaration, acknowledgement, instruction or confirmation by the Applicant in this application form or from the Applicant's failure to disclose any relevant details or provide it with all the information requested by the Company, the Administrator or the Custodian. In the case of delay or failure to provide satisfactory information, each of the Company, and the Administrator, may take such action (including declining to accept an application) as they think fit.

(In respect of joint Applicants only). The Applicants direct that on the death of one of them the Shares for which they hereby apply be held in the name of and to the order of the survivor or survivors of the Applicants or the executor or manager of such survivor or survivors.

The Applicant undertakes to observe and be bound by the provisions of the Articles of Association of the Company (as amended from time to time) and applies for the Shares issued in relation to this application to be entered in the register of Shareholders in the name of the Applicant (or in the name of a nominee or agent).

The Applicant agrees to accept such lesser number of Shares in the Fund, if any, than may be specified above in respect of which this application may be accepted.

The Applicant acknowledges that Shares in the Fund are subject to investment risks, including possible loss of principal amount invested.

The Applicant confirms its agreement with the distribution policy as outlined in the Prospectus.

The Applicant understands that the representations and warranties made herein are continuous and all subsequent subscriptions of Shares in the Fund by the Applicant shall be governed by them, and the Applicant agreed to notify the Company or the Administrator promptly, if any representation or warranty should cease to be true at any time.

The Applicant consents to any notice or other document to be sent by the Company or the Administrator to the Applicant as a Shareholder being sent by electronic means including but not limited to e-mail, swift or posting such notice or other document on a website notified to the Applicant by post or by e-mail.

The Applicant acknowledges that it will be entitled to look solely to the assets of the Fund in respect of all payments in respect of its Shares. If the realised net assets of the Fund are insufficient to pay any amounts payable in respect of the relevant Shares, the Applicant will have no further right of payment in respect of such Shares nor any claim against or recourse to any of the assets of any other Fund or any other asset of the Company or of the Administrator or of the Custodian.

Confirmation of ownership will be sent to you on quarterly basis. If you wish confirmation of ownership to be sent to you on a monthly basis please tick and ensure that your contact details are inserted below Yes / No \*

I/We agree that personal information obtained in relation to me/us will be handled by the Administrator (as data processor on behalf of the Fund) in accordance with the Data Protection Acts 1988 to 2003. Information in relation to me/us will be processed for the purposes of carrying out the services of Administrator and transfer agent of the Fund and to comply with any applicable legal obligations (including obligations under Irish company law and anti-money laundering legislation). The Administrator or the Fund may disclose my/our information to third parties where necessary or for legitimate business interests. I/We consent to processing of my/our information and the disclosure of my/our information as outlined above and to the Investment Manager and where necessary or in the Fund's or Administrator's legitimate interests to any entity in the Calamos Global Funds PLC group or to agents

of the Administrator including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as Ireland. For the purpose of the Data Protection Acts 1988 to 2003 the Fund is deemed to be the data controller.

### **EU Savings Directive**

EU Residents applying for shares in Calamos Global Funds PLC.

Under EU Savings Directive adopted from 1st January 2004, we are obliged to request that all EU Residents applying for shares in the above fund provide documentary evidence of their national taxation number.

I/we expressly consent to the automatic disclosure of information relating to my account to the tax authorities in Ireland and in my/our country of residence in accordance with the EU Taxation of Savings Directive (2003/48/EC) and applicable local regulations. I/we agree to notify the Company and the Administrator of any change to my/ our tax residency status.

### **NOTES:**

- Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
- In the case of a firm (not a limited company) applications should be in the name(s) of and signed by the proprietor(s).
- To be valid, the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the application is a company, it must be signed by the company secretary or another authorised officer.
- If the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.
- If this form is not fully completed to the satisfaction of the Administrator, the application/transfer may not be accepted.
- The Administrator acting on behalf of the Fund reserves the right to reject an application for Shares in whole or in part.
- Persons who are resident or ordinarily resident in the Republic of Ireland and who are seeking to apply for Shares should contact the Administrator for an Application Form, which does not include the above declaration. Irish residents who are entitled to payment without deduction of tax should also request the appropriate alternative declaration form from the Administrator.
- Applicants who are resident in Ireland but not a Taxable Irish Person should contact the Administrator for the alternative declaration available for such applicants.

# Details of Applicants(s)

## First Applicant

Registered name of Applicant

\*Registered Address (line 1)

\*Registered Address (line 2)

Mailing Address (line 1)

Mailing Address (line 2)

Email Address

Tel No

Fax No

**Joint Applicant(s):** Details of up to 3 additional holders may be added to the application.

## Second Applicant

Registered name of Applicant

\*Registered Address (line 1)

\*Registered Address (line 2)

Mailing Address (line 1)

Mailing Address (line 2)

Email Address

Tel No

Fax No

### Third Applicant

Registered name of Applicant

\*Registered Address (line 1)

\*Registered Address (line 2)

Mailing Address (line 1)

Mailing Address (line 2)

Email Address

Tel No

Fax No

### Fourth Applicant

Registered name of Applicant

\*Registered Address (line 1)

\*Registered Address (line 2)

Mailing Address (line 1)

Mailing Address (line 2)

Email Address

Tel No

Fax No

\* Correspondence will be sent to the mailing address of the First Applicant. PO Boxes should not be provided for the purpose of a registered address.

# Details of Applicants(s) continued

## Applicant Bank Account Details:

\*Redemption / Distribution proceeds can only be paid to a bank account held in the name of the registered shareholder.

\*\*Effective 1st January 2006 it will be compulsory for us to use both IBANS & BIC Codes for all EUR Payments.

Bank Name

Bank Address

\*Bank Account Name

Bank Account Number

\*Sub Account Name

Sub Account Number

Reference

\*\*EUR Payments

BIC

And

BIC

USD Payments

SWIFT

Or

ABA/Fedwire

## Dividend Option (please select);

**Reinvest Option:** automatic purchase of additional shares of the same class equivalent to monthly dividends.

**Cash Option:** pay all dividends to the redemption bank account listed above.

Should this section not be completed, dividends will be reinvested automatically each month.

**Changes to account details such as amending redemption bank accounts can be instructed by:**

- One authorised signatory for the account
- Two authorised signatories for the account
- Other (please provide alternative authorisation procedures)

# Declaration of Residence Outside the Republic of Ireland

## (This section must be completed and signed)

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units. Terms used in the declaration are defined in the Prospectus.

**Declaration on own behalf** (\*Please delete as appropriate)

I/we declare that I am/we are\* applying for the shares/units on my own/our own behalf /on behalf of a company\* and that I am/we are/the company\* is entitled to the shares/units in respect of which this declaration is made and that

- I am/we are/the company is\* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company\* become resident in Ireland I/we will so inform you, in writing, accordingly.

**Declaration as Intermediary** (\*Please delete as appropriate)

I am/we declare that I am/ we are\* applying for shares/units on behalf of persons:

- who are beneficially entitled to the shares/units; and
- who, to the best of my/our knowledge and belief, are neither resident nor ordinarily resident Ireland.

I/we\* also declare that:

- Unless I/we specifically notify you to the contrary at the time of the application, all applications for shares/units made by me/ us\* from the date of the application will be made on behalf of such persons; and
- I/we will inform you in writing, if I/we\* become aware that any person, on whose behalf I/we holds shares/units, becomes resident in Ireland.

**Where the Application is made by an Intermediary, please complete the following by ticking the box:**

We confirm the following:

1. We verify the identity of the client on whose behalf we are making investments into your funds.
2. We retain documentary evidence of this verification procedure.
3. We will make this documentation available to RBC Dexia Investor Services Ireland Limited if so required

## Authorisation

The Applicant(s) agree(s) to be bound by the Declarations, Representations, Consents and Indemnities set out in this Application Form.

### Principal Applicant:

Signature / Authorised Signatory (Declarant)

Name (block capitals)

Capacity of authorised signatory (if applicable)

Date (MM/DD/YYYY)

### Joint applicants:

Name

Signature

Name

Signature

# Anti-Money Laundering Requirements

Under Irish legislation covering anti-money laundering and the taxation of savings the Company and the Administrator are required to obtain the following documentation to verify the identity, permanent address and tax residency status of all new clients. Please note that the application may not be accepted until all the relevant information has been received. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.

**Redemption proceeds will not be processed until the Administrator is in receipt of the required anti-money laundering documentation.**

**All documentation provided must be in original form or certified\* as being a true copy of the original version**

## **FURTHER INFORMATION REQUIRED FROM INDIVIDUAL APPLICANTS**

The following should be provided for each applicant:

1. Certified copy of current passport, drivers licence or identification card that bears evidence of your identity
2. Two original or certified items bearing evidence of your residential address such as a recent bank, credit card statement, public utility bill or mobile phone invoice. (Must be different types and no more than three months old.)
3. Your tax identification number issued by your country of residence together with certified copy documentary evidence thereof
4. An original or certified copy of your tax residency certificate issued by the tax authorities in the country in which you currently claim residency. (Relevant for applicants resident in one country whose passport or identity card is issued by another Member State of the European Union.)

## **FURTHER INFORMATION REQUIRED FROM CORPORATE APPLICANTS**

Please note that the Administrator can only accept Application Forms from an entity that has legal capacity to enter into contracts on its own right and may require the constitutive document to legitimate legal status.

**\*\*Corporate applicants resident in an EU or FATF country are required to provide the following documentation:**

1. Certified copy of the certificate to trade or certificate of incorporation (and any name change)
2. Certified copy of the memorandum & articles of association
3. List of Directors names, occupations, residential & business addresses and date of birth
4. Original authorized mandate for the Directors to open / operate the account
5. An original or certified copy authorized signatory list with specimen signatures on company letterhead
6. For at least two Directors:
  - a. Certified copy of current passport, drivers licence or identification card that bears evidence of your identity
  - b. Two original or certified items bearing evidence of your residential address such as a recent bank, credit card statement, public utility bill or mobile phone invoice. (Must be different types & no more than three months old.)
7. A list of names and addresses of shareholders holding 10% or more of the issued share capital of the company and in the case of individual shareholders, their occupations and dates of birth

**\*\* If the applicant is a Designated Body in an EU or FATF country, this information is not required; however we will require evidence of your regulated status from the appropriate regulatory authority.**

**\*Certified copy:** The certification of documents can be carried out by any of the following:

- Notary Public / Practising Solicitors
- Police force
- Chartered & Certified Public Accountants
- Embassy /Consular staff
- Any Designated Body

**Corporate applicants resident outside a FATF jurisdiction may be required to provide further information on request. Please contact the administrator for further information.**

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