

# Calamos Investments® Privacy Policy

At Calamos Investments, we are committed to conducting ourselves with total integrity and to the highest standards of prudent business practice. Your financial privacy is an important part of these activities. Our Privacy Policy outlines the steps we take to protect your personal information. Preserving your trust and confidence reflects our dedication to maintaining long-term client relationships.

## Why It Is Important We Share Our Privacy Policy

We believe that maintaining the privacy of your personal financial information is an essential piece of the service that we provide. This Privacy Policy explains how Calamos Investments handles your personal financial information, and the procedures that we follow to ensure your privacy.

## What Types of Personal Information Does Calamos Investments Collect?

We collect information about you to help serve your financial needs, provide customer service, and fulfill various legal and regulatory requirements. The type of information that we collect from you will vary based upon the product or service that we provide, and may include:

- Information included on applications, questionnaires, new account forms and other related forms such as your name, address, Social Security number, assets and income;
- Information about your transactions with us such as purchases, sales, account balances, and bank account information;
- Information provided or captured on our website; including any information captured on our website through the use of "cookies".

## How Does Calamos Investments Share Your Information?

First and foremost, Calamos Investments does not sell lists of client information, nor do we disclose client information to marketing companies, with the exception of companies we may hire to provide specific services for us, as described below. We do not disclose any of the information described above to anyone, except as provided by law. Specifically, Calamos Investments may share non-public personal information with our affiliates in the course of processing transactions, managing accounts on your behalf, or to inform you of products or services that we believe may be of interest to you. Additionally, we may share non-public personal information with the following types of third parties:

- Our financial service providers such as custodians and transfer agents; and
- Non-financial companies under servicing or joint marketing agreements, such as printing firms and mailing firms that may assist us in the distribution of investor materials.

In all cases, your information is strictly protected. These third parties are bound by law or by contract to use your information only for the services for which we hired them, and are not permitted to use or share this information for any other purpose. This policy applies to current and former clients. If you access our services or products through another financial intermediary, such as a wrap fee sponsor, your intermediary's policy will govern how it uses your personal information.

CALAMOS®

Investment strategies for your serious money®

# Calamos Investments Privacy Policy

## Your Right to Opt Out

Calamos Investments does not sell or distribute non-public information to third parties, except as provided above. If, in the future, our policies were to change, you would be notified and provided an opportunity to opt out of our disclosing that information. That is, you could tell us not to disclose the information to any other person or entity.

## How We Keep Your Information Secure and Confidential

In order to further protect you, Calamos Investments maintains strict internal security measures. We restrict access to your personal and account information to those employees who need to know that information to service your account. We also maintain physical, electronic and procedural safeguards that comply with industry standards to guard our non-public personal information.

To protect your accounts online, encryption technology (Secure Sockets Layer) is used to prevent unauthorized access. Before accessing your accounts online, you are required to provide verification of who you are and a password/PIN number. We request your help in this process by keeping your identification information and password/PIN number private and restricting access to your personal computer.

As a client of Calamos Investments, you can rely on our commitment to protect your personal information and privacy.

## Calamos Companies Providing this Notice:

- Calamos Advisors LLC
- Calamos Partners LLC
- Calamos Financial Services LLC
- Calamos Investment Trust
- Calamos Advisors Trust
- Calamos Wealth Management LLC
- Calamos Convertible Opportunities and Income Fund
- Calamos Convertible and High Income Fund
- Calamos Strategic Total Return Fund
- Calamos Global Total Return Fund
- Calamos Global Dynamic Income Fund

# CALAMOS®

Calamos Investments  
2020 Calamos Court  
Naperville, IL 60563-2787  
800.582.6959  
[www.calamos.com](http://www.calamos.com)  
[caminfo@calamos.com](mailto:caminfo@calamos.com)

# I Share Application

Calamos Financial Services LLC, *distributor*

**Mail to: Calamos Family of Funds**

c/o U.S. Bancorp Fund Services, LLC  
 P. O. Box 701  
 Milwaukee, WI 53201-0701

**Overnight mail to: Calamos Family of Funds**

c/o U.S. Bancorp Fund Services, LLC  
 615 E. Michigan St., 3rd Floor  
 Milwaukee, WI 53202-5207

**To complete this application, you will need:**

- Tax Identification Number
- Permanent street address
- Signature(s) of Officer or Trustee
- A copy of your trust documents (if applicable)
- A copy of your corporate documents (if applicable)

Please print. If you have any questions about completing this application, call a Customer Service Representative at 800.582.6959 (Hours: Monday-Friday, 8:00 a.m. to 6:00 p.m. Central Time).

## 1 Ownership Information

You must supply documentation to substantiate existence of your organization and your authority to open an account (i.e., Articles of Incorporation/Organization, Trust Agreements, Partnership Agreement, Certified Resolution, or other official documents)

- Corporation
- Partnership
- Trust

Trust Agreement Date (if applicable)   
(MM/DD/YYYY)

Other (Specify)

Name of Corporation, Entity or Trust

Tax Identification Number

Trustees (if applicable)

This section must be completed.

**Permanent Street Address of Owner**

Your permanent address cannot be a P.O. Box.

Note: Your permanent address must be within the United States, an APO/FPO or in a U.S. territory to open an account.

Street Address

Apt./Suite #

City

State

Zip Code

Business Telephone

Ext.

Home Telephone

E-mail

## 1 Ownership Information (continued)

If your mailing address is different from your permanent street address, indicate here.

### Account Mailing Address

Street Address		Apt./Suite #	
City		State	Zip Code
Business Telephone	Ext.	Home Telephone	
E-mail			

In compliance with the USA PATRIOT Act, all financial institutions (including mutual funds) are required to obtain, verify, and record the following information for all registered owners or others who may be authorized to act on an account: **full name, date of birth, Social Security Number, and permanent street address. Corporate, Trust and other entity accounts require additional documentation.** This information will be used to verify your true identity. We will return your application if any of this information is missing, and we may request additional information from you for verification purposes. In the rare event that we are unable to verify your identity, the Fund reserves the right to redeem your account at the current day's net asset value.

- I/We already have other Calamos accounts.  
 This is my/our first account with Calamos Family of Funds.

## 2 Initial Investment

Please indicate your choice of Fund(s) and the amount of your initial investment:  
 The minimum initial investment is \$1 million.

<sup>1</sup>As of October 29, 2010, the Convertible Fund is closed to most new investments subject to exceptions enumerated in the prospectus. Please review the prospectus carefully before submitting any purchase requests. If you have any questions, please call a customer service representative at 800.582.6959

Select Fund(s)	Share Class	Minimum Initial Investment
<input type="checkbox"/> Growth	I (630)	\$ <input type="text"/>
<input type="checkbox"/> Growth and Income	I (628)	\$ <input type="text"/>
<input type="checkbox"/> Discovery Growth	I (1978)	\$ <input type="text"/>
<input type="checkbox"/> Value	I (637)	\$ <input type="text"/>
<input type="checkbox"/> Blue Chip	I (644)	\$ <input type="text"/>
<input type="checkbox"/> Global Growth and Income	I (631)	\$ <input type="text"/>
<input type="checkbox"/> International Growth	I (648)	\$ <input type="text"/>
<input type="checkbox"/> Global Equity	I (1916)	\$ <input type="text"/>
<input type="checkbox"/> Evolving World Growth	I (1955)	\$ <input type="text"/>
<input type="checkbox"/> Convertible <sup>1</sup>	I (627)	\$ <input type="text"/>
<input type="checkbox"/> Market Neutral Income	I (629)	\$ <input type="text"/>
<input type="checkbox"/> High Yield	I (632)	\$ <input type="text"/>
<input type="checkbox"/> Total Return Bond	I (1932)	\$ <input type="text"/>
<input type="checkbox"/> Fidelity Prime Money Market	I (1973)	\$ <input type="text"/>

**Purchased by:** 1. Check for \$  made payable to Calamos Funds

**OR:** 2. Wire \$  on  from   
Date (MM/DD/YYYY) Name of Bank

**For wire instructions:** Call 800.582.6959

The Fund confirms each purchase of shares by a written statement mailed to you without issuance of share certificates. Please see the prospectus for wire and/or exchange methods.

### 3 Special Services

All distributions will be reinvested in additional shares unless indicated otherwise.

#### A. Dividend and Capital Gain Distribution

Pay each dividend by:  Check  Direct Deposit\*  
Pay each capital gain distribution by:  Check  Direct Deposit\*

\*An unsigned, voided check or savings account deposit slip is required with your application; please attach to section C.

Unless you indicate otherwise, you will have telephone and wire privileges and all checks will be sent to the address of record.

#### B. Telephone or Wire Redemption and Exchange Privileges

- I do not want telephone REDEMPTION privileges.
- I do not want telephone EXCHANGE privileges.
- I do not want wire REDEMPTION privileges.
- I authorize U.S. Bancorp Fund Services, LLC to electronically DEPOSIT funds to my bank account for shares redeemed by wire.\*

Please note, wire redemption privileges require completion of the information below.

Redemptions will be made payable to the registered owner(s), and sent to the registered owner(s) as indicated in section 1. Proceeds from shares redeemed by telephone are limited to \$50,000 maximum per day if sent by check. Persons having your account information may be able to act on your behalf.

\*An unsigned, voided check or savings account deposit slip is required with your application; please attach to section C.

If you have elected direct deposit of your dividends, or direct deposit of redemptions, you must attach a voided check or savings deposit slip.

#### C. Bank Services

Name(s) on Bank Account

Bank Name

Account Number

Bank Routing Number/ABA

Bank Address

City

State

Zip Code

Signature of Bank Account Owner

Date (MM/DD/YYYY)

Signature of Joint Bank Account Owner (if applicable)

Date (MM/DD/YYYY)

Note: Any co-signer of a checking or savings account who is not a owner of this fund account must authorize these services by signing here.

**Tape your voided check or savings  
deposit slip here.  
Please do not staple.**

#### 4 Dealer/Investment Adviser (To be completed by the dealer/adviser if applicable)

If your purchase of the Fund(s) was recommended by a dealer/adviser, please complete this section.

<input type="text"/>		<input type="text"/>	
Dealer/Adviser/Firm Name		Dealer Number (optional)	
<input type="text"/>		<input type="text"/>	
Branch		Branch Number	
<input type="text"/>		<input type="text"/>	
Representative's First and Last Name		Representative Number	
<input type="text"/>		<input type="text"/>	
Address		Suite/Floor/Department	
<input type="text"/>		<input type="text"/>	<input type="text"/>
City		State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Branch Telephone	Ext.	E-mail	

#### 5 Signature and Certification

All required authorized signers must sign this application before mailing it.

By signing this form, I consent to the "household" delivery of any fund prospectus, shareholder report and other documents (other than transaction confirmations of account statements) that I must legally receive. This means that I and any other fund shareholder residing at my address believed by the Fund to be a member of my family will only receive a single prospectus/report at our address. This will not affect the delivery of my account statements or transaction confirmations.

Please check here if you do NOT consent to "householding" so each fund shareholder at your address will receive their own prospectus/report in the future.

I affirm that I have received a current prospectus of the Fund applied for and I agree to be bound by its terms. I certify that I have full authority and legal capacity to purchase shares of the Fund and to establish and use any related Privileges. I understand that the Telephone or Wire Redemption and Exchange Privileges will apply to my account unless I have specifically declined those Privileges in section 3 of this application.

I understand that by signing the application, unless the Privileges are declined, I agree that neither the Funds nor their Transfer Agent, their agents, officers, trustees, directors or employees will be liable for any loss, liability or expense for acting on instructions given under the Privileges, placing the risk of loss on me. See the discussion of Telephone and Wire Privileges in the prospectus.

I authorize the firm/registered representative listed in section 4 of this application to have access to my account and to act on my behalf with respect to my account.

I certify, under penalties of perjury, that (a) all information and certifications on the application are true and correct, including the tax identification number under Account Registration or, if none is shown, I certify that I have not been issued a number but have applied for one and (b) I am not subject to backup withholding as a result of a failure to report all interest or dividend income to the IRS. **(Note: you must draw a line through clause (b) of the preceding sentence if the IRS has notified you that you are subject to backup withholding due to your failure to report such income.)** I further certify that I am a U.S. Citizen (including a U.S. Resident Alien). I agree that the Fund and its transfer agent may redeem shares and retain the proceeds from any of my account(s) with the Fund up to a total of (a) any IRS penalties attributable to my failure to provide either the Fund or its transfer agent with correct and complete information requested by them and (b) any tax not withheld from distributions to me which should have been withheld by them.

Signatures must be exactly as name(s) appear under Account Registration. If your account is the account of an individual, the individual should sign; if joint owners, all should sign; if a custodian for a minor, the custodian should sign; if a corporation or other reorganization, an officer should sign (indicating corporate office or title); if a trust or other fiduciary, the trustee or fiduciaries should sign (including capacity).

<input checked="" type="checkbox"/>	<input type="text"/>	<input type="text"/>
Officer, Trustee Signature, etc.	Date (MM/DD/YYYY)	
<input type="text"/>	<input type="text"/>	
Title of Officer, Trustee, etc.	Date (MM/DD/YYYY)	

# CALAMOS®

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